

JO Rec'd PCT/PTO PCT 07 DEC 2001

PATENT
Docket No. M 6820 PCT/US HST/NI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of:
Nakada et al.

Serial No. 09/807,658

Filed: 8/27/01

Examiner: Unknown

Art Unit: Unknown

Title: HYDROPHILIZING AGENT FOR METALLIC MATERIAL, HYDROPHILIZING FLUID, METHOD OF HYDROPHILIZING METALLIC MATERIAL AND HEAT EXCHANGER

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on November 7, 2001.

November 7, 2001

Date


Signature of certifier

Mary Lynne Carlisle

Typed or printed name of certifier

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith is an Information Disclosure Statement ("IDS") in the above-referenced application, together with an IDC form listing all references cited and a copy of each reference.

No Office Action on the above-referenced application has been received by Applicant, and therefore no fee for submitting this IDS is believed to be due. However, The Assistant Commissioner is hereby authorized to charge Deposit Account No. 01-1250 if any fee under 37 C.F.R. 1.17 is deemed necessary for the accompanying references to be considered by the Patent and Trademark Office.

One of the references is neither in English nor cited in the accompanying English language version of a search report by another patent office, and therefore requires a concise explanation of

Serial No. 09/807,658

relevancy, as it is currently understood by the applicant, pursuant to 37 C.F.R. § 1.98(a)(3). This required explanation of relevancy is given in the specification of the above captioned application for the following reference:

Reference Identification

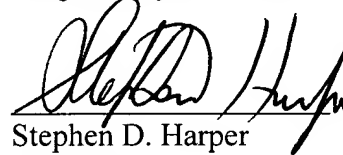
JP 06-116527

Described in Specification at:

Page 2, lines 27-30

Applicants wish to note that U.S. Pat. No. 4,783,224 enclosed herewith is a counterpart of Japanese Unexamined Patent Publication No. 63-171684, mentioned in lines 19-22, page 2, of the specification. Further, U.S. Pat. No. 4,954,372 enclosed herewith is a counterpart of Japanese Unexamined Patent Publication No. 1-270,977, mentioned in the last line of page 2 of the specification. Finally, Canadian Pat. No. 1,299,007 enclosed herewith is a counterpart of JP 61-182988, mentioned in the International Search Report enclosed herewith.

Respectfully submitted,



Stephen D. Harper

RN 33,243

Attorney for Applicant

610-278-4927

Henkel Corporation
2500 Renaissance Blvd.
Gulph Mills, PA 19406

Enclosure

1. International Search Report (English Language)
2. IDC w/references